

IN THE UNITED STATES PATENT & TRADEMARKS OFFICE



PART UNIT: 1625

APPLICANT: Bruening et al.

SERIAL NO.: 10/664,045

FILED: 9/16/03

CONFIRM. NO.: 2593

FOR: COMPOSITIONS AND METHODS  
FOR SEPARATING AMINES AND  
AMINO ACIDS FROM THEIR  
COUNTER-ENANTIOMERS

ATTORNEY DOCKET NO. 21058.NP

REQUEST FOR CORRECTION OF  
FILING RECEIPT

CERTIFICATE OF MAILING  
UNDER 37 C.F.R. § 1.8

DATE OF DEPOSIT: 2/10/04

I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Emily Mecham

Office of Initial Patent Examination's Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir/Madam:

This communication is filed in response to the Filing Receipt mailed 12/10/2003. We request the following changes be made:

1. Under the "Applicant(s)" section, please replace:

Krzysztof E. Krakowiak, American Fork, UT; with -- Krzysztof E. Krakowiak, Provo, UT;--

2. Under the "Domestic Priority data as claimed by applicant" section, please replace:

This application is a CIP of 09/802,123 03/08/2001  
and claims benefit of 60/411,251 09/17/2002

with

This application is a CIP of 09/802,123 03/08/2001  
which claims benefit of 60/188,935 03/10/2000  
and claims benefit of 60/411,251 09/17/2002

Request for Correction of Filing Receipt  
Page 2

A copy of the filing receipt with the correction in red ink is enclosed herewith.

The Commissioner is hereby authorized to charge any fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 10<sup>th</sup> day of February, 2004.

Respectfully submitted,

*M. Wayne Western*

M. Wayne Western  
Attorney for Applicant  
Registration No. 22,788

THORPE NORTH & WESTERN, LLP  
Customer No. 20,551  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone: (801) 566-6633

*gj*  
MWW/GPO/em  
Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/664,045	09/16/2003	1625	0.00	21058.NP		36	3

RECEIVED

20551  
 THORPE NORTH & WESTERN  
 8180 SOUTH 700 EAST, SUITE 200  
 P.O. BOX 1219  
 SANDY, UT 84070

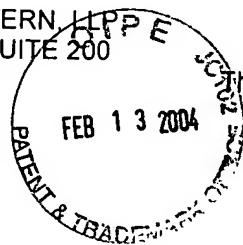
DEC 15 2003

CONFIRMATION NO. 2593

FILING RECEIPT



\*OC000000011456696\*



Date Mailed: 12/10/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Ronald L. Bruening, American Fork, UT;  
 Krzysztof E. Krakowiak, American Fork, UT;  
 Provo

**Domestic Priority data as claimed by applicant**

This application is a CIP of 09/802,123 03/08/2001 which claims benefit of 60/188,935 03/10/2000 and claims benefit of 60/411,251 09/17/2002

**Foreign Applications**

**If Required, Foreign Filing License Granted:** 12/09/2003

**Projected Publication Date:** To Be Determined - pending completion of Missing Parts

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Compositions and methods for separating amines and amino acids from their counter-

enantiomers

**Preliminary Class**

549

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

<b>DOCKETED</b>		
Description	Due Date	Initials
IDS	12-16-03	JL
FF letter	3-16-04	JL
FF letter	6-16-04	JL
FF doc	9-16-04	JL
<i>12-16-03</i>		INITIAL